

CALIFORNIA STATE UNIVERSITY EAST BAY  
OFFICE OF RESEARCH AND SPONSORED PROGRAMS (ORSP)  
NSF CONFLICT OF INTEREST DISCLOSURE FORM

(Required for all proposals submitted to the National Science Foundation and any other entities that have adopted this requirement)

THIS SUBMITTAL is for a ...  New Disclosure ...  Updated Disclosure

Investigator's Name: \_\_\_\_\_  
Academic Department: \_\_\_\_\_  
Proposal/Project Title: \_\_\_\_\_  
Award Number: \_\_\_\_\_  
Proposal Type (please check one):  
 ... National Science Foundation (NSF)  
 ... Subaward from \_\_\_\_\_ under \_\_\_\_\_ to be affected by or benefit from the research being conducted.

This requirement applies to proposals to the National Science

Foundation (NSF) or to any other entity that has adopted the requirements.

Application extends to subaward proposals/award if funding will come from NSF or from any other entity that has adopted the requirements.

NOTE: This disclosure is separate from any disclosure required under State of California Administrative Code, Title 2, Section 18705 (Form 700 U Statement of Economic Interests for Principal Investigators).

Significant Financial Interest (SFI) is defined as anything of monetary value including, but not limited to:

- Salary, income, honoraria, royalties, dividends, consulting, loans, or other payment or consideration with value from any business, public, or nonprofit entity exceeding \$10,000 during the prior twelve months, aggregated for the Investigator, spouse, and dependent child(ren).
- Equity interest (stock, stock options, real estate, etc.) that exceeds \$10,000 or 5% ownership.
- Equity interest (stock, stock options, real estate) that exceeds \$10,000 or 5% ownership.
- Management position such as board member, director, officer, partner, or trustee held by the Investigator.
- Intellectual property interest held by the Investigator, spouse, and dependent child(ren) assigned or to be assigned to a "U.S. Government" or "State of California".

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All Investigators must answer the following question:

Do you, your spouse, or dependent child(ren) have any Significant and Related Financial Interest(s) that would (i) reasonably appear to be affected by the research for which funding is sought, (ii) are in the sponsor of the research or (iii) are in entities whose financial interests would reasonably be affected by the research?

...NO ...YES

If you answered NO, please sign this form (on the last page) and submit with your proposal.

If you answered YES please answer the following questions (attach separate sheet if needed), sign and submit with your proposal.

Name of Entity: \_\_\_\_\_

Principal Business of Entity: \_\_\_\_\_

1. If you, your spouse, or dependent child(ren) hold a position of management with this Entity, state the position title, describe

7. If the

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